



About us

ERIX Advisors is a Consultancy & Advisory firm providing a wide range of services in the field of Listing compliance, taxation, legal and corporate laws with its headquarter in Mumbai, and branches spread across all metro cities in India. We are a group of experienced professionals providing excellence in consultancy services in Corporate Laws, Securities Laws, FEMA, ROC matters, SEBI matters, RBI, Income Tax, NCLT/DRT, Goods and Service Tax, Intellectual Property Rights, Businesses Advisory, Formation and Management of Companies/NGOs and such other ancillary services. We render the Registered Valuer Certification as well as SEBI registered Cat-I Merchant Banking Certification for regulatory requirements of the clients. The firm is having various associations PAN India in all major cities including Hyderabad, Bengaluru and Delhi.

OUR FOUNDER



CS Payal Gupta
CS, LL.B., B.Com.
(Founder)

Ms. Gupta is a member of the Institute of Company Secretaries of India (ICSI) having over Seven years of experience. Before dedicating herself full time to ERIX Advisors, she worked as a Deputy Manager, Listing Approvals in National Stock Exchange of India Limited for over three years. Her forte lies in IPOs (SME, & Main Board), NGO Registration/Listing on Social Stock Exchange (SSE), Cross listings of already listed Companies on another Stock Exchanges (NSE & BSE), Migration from SME to Mainboard, Preferential Allotment, Bonus Issue, Rights Issue, ESOP/ESPS/SARs, REITs/INVITs, Corporate Bonds, Mutual Funds, ETF, Commercial Papers and Securitized Debt Instrument etc.

As a Listing Consultant, she has successfully handled IPOs of over twenty companies during her tenure at ERIX Advisors and Fifty Plus, in addition to other listing assignments at NSE. She is also one of the leading Consultants for Compliances pertaining to SEBI regulated framework & NGO Registration/Listing on Social Stock Exchange (SSE). Some of her prominent listing works include IPOs of Basilic Fly Studio, Maitreya Medicare Limited, Essen Speciality Films Limited, Srivari Spices & Foods Limited, Mcon Rasayan India Limited, and SSE listing of Routes 2 Roots and Mukti Foundation.

Advisory Panel

CS Prem Tiwari, CS, B.Com. (Strategic Partner):

Mr. Tiwari, is a Corporate Restructuring Expert, Startup Mentor & Trademark Attorney with over Nine years of experience. He has served as a Company Secretary of Sealion Sparkle Port and Terminal Services (Dahej) Limited and as an Associate Company Secretary at Paisalo Digital Limited (Listed Company). He has expertise in corporate actions, M&A advisory, Due Diligence, Secretarial Audits and Foreign Investments with all the filings and related compliances.

He has successfully handled various merger cases, FDI projects, various private equity projects, due diligence projects and also a takeover under SEBI Regulations. He has been awarded Brilliant Performance Award by Indian Accounting Association in a national level competition.

Ms. Prapti Kedia, B.A. LL.B. (Business Law Hons.) (Of Counsel):

Ms. Kedia, is an Advocate having expertise in Commercial Litigation & Arbitration. During her practice of over 6 years, she has represented and advised her clients on matters before Hon'ble High Court of Bombay, NCLT, Arbitral Tribunals and other such courts and tribunals in India. She has authored/co-author articles in various publications.

She has successfully handled matters pertaining to Insolvency and Bankruptcy Code, 2016, Arbitration and Conciliation Act, 1996 and other Corporate & SEBI Laws.

CS Saurabh Singh, CS, LL.B., B.Com. (Associate Advisor):

Mr. Singh, is a member of the Institute of Company Secretaries of India (ICSI) having over six years of experience. He has worked as a Deputy Manager, Listing Approvals in National Stock Exchange of India Limited for around three years. He has expertise in Secretarial Audit, Statutory Audit, M&A advisory, Due Diligence and Foreign Investments along with all the filing and related compliances.

He has successfully handled various Merger cases, Amalgamations, Demerger, Qualified Institutional Placements, FCCBs, Bonus Issues, Preferential Issues and abeyance cases for the listed Companies.

Service offerings - Specialisation

1) Capital Market (End to end support services to Companies and Merchant Bankers in process of capital market transactions)

Further Issue:

- Preferential Issue;
- ESOP/ESPS/SAR/REBS;
- Bonus & Rights Issue;
- Qualified Institutional Placement (QIPs);
- Conversions of Warrants/Debentures;
- Capital Reduction; Sweat Equity;
- Abeyance;
- Follow-on Public Offerings;
- GDRs/ADRs/FCCBs;
- Listing of an unlisted company pursuant to the scheme of amalgamation/ demerger (Post allotment);
- Listing of securities issued pursuant to the scheme of amalgamation/ arrangement/ reduction of capital (Post allotment);
- Listing of Abeyance Shares, Partly Paid up to Fully Paid up Shares and Convertible Securities to Equity;
- Scheme of Amalgamation/ Arrangement/ Demerger etc.

New Listings:

- Migration from SME to Main Board;
- Cross Listings on another Stock Exchange for already listed Companies;
- REITs/INVITs listings;
- Mutual Fund & ETF listings;
- Listings on SME Platform;
- Listings on Main Board Platform.
- NGO Registration/Listing on Social Stock Exchange (SSE)

Debt:

- Listing of Non-Convertible Bonds & Commercial Papers through Private Placement;
- Listing of Non-convertible Securities through Private Placement;
- Listing of Securitised Debt Instrument through Private Placement;
- Restructuring of Privately Placed Debt

2) Other Compliances

- Advising and managing event based and periodic compliances prescribed under SEBI (Listing Obligations and Disclosure Requirements) Regulations;
- Disclosures under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations & SEBI (Prohibition of Insider Trading) Regulations;
- Shareholding pattern, Board Meetings & Corporate Governance; Financial Result & Annual Report;
- Re-classification of Promoters and Promoter Group Shareholders;
- Name Change, Symbol Change, Corporate Actions (Stock-Split, Consolidation of Shares); Revocation of Suspension in trading of equity shares;
- Reconciliation of share capital audit report;
- Debt & Mutual Fund Compliances;
- Corporate Action (Book Closure/Record date for Main-Board/SME/ITP listed companies);
- Buy-back of securities Acting as Scrutinizer;
- Delisting/Relisting;
- Dematerialization of securities;
- Application for waiver of SOP fine;
- Drafting and appearing before adjudicating officers in relation to show cause notices.

3) Audit & Taxation

- Statutory & Taxation Audit;
- Peer Review Audit: Internal Audit;
- Direct Taxation (Income Tax & TDS);
- Indirect Taxation (GST); Secretarial Audit;
- Corporate Governance Audit;
- Due Diligence Audit;
- Secretarial Compliance Audit.

4) Intellectual Property Rights (IPRs)

- IPRs protection services, so as to avoid infringement of IPRs;
- Copyright Registration;
- Trademark Search and registration;
- Design & logo registrations.

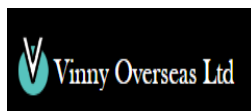
5) Secretarial Compliances

- Advising and managing event based and periodic compliances prescribed under Companies Act, LLP Act, RBI Regulations & Foreign Exchange and Management Act and rules thereunder.
- Formation of Partnership Firms, Limited Liability Partnerships (LLP) & Companies (Including NBFCs, Sections-8 Company & Producer Company etc.);
- Conversion of Private Limited Company into LLP, and vice-versa;
- Conversion of Unlisted Public Company into LLP, and vice-versa;
- Issue of search and status reports to Banks;
- Compliance relating to the meetings of Board of Directors, Shareholders, Creditors etc.;
- Conversion of Annual Financial Data into XBRL Format;
- Filing of Annual returns with the Ministry of Corporate Affairs and related legal documentation;
- Maintenance of Minutes books, Statutory Registers and other support services;
- Compliances relating to Statutory meeting and statutory report;
- Changing/alteration in the name of company;
- Changing the Authorized Capital and paid up capital;
- Issue/allotment of shares and related compliances;
- Change of registered office within the same city, from one city to another and one state to another state;
- Alteration of main object of the company;
- Inclusion of new business in the memorandum of the company;
- Appointment & Resignation of Directors;
- Appointment of directors and their remuneration;
- Inter-corporate investments and loans;
- Advising on creation, satisfaction and registration of charges;
- Drafting of Director's Report, Corporate Governance Report and Annual Report; Matters related to Investor Grievances;
- Payment of dividend and related compliances;
- Change of management, takeover of management and related due diligence services;
- Winding-up of companies/Striking off of the companies;
- Director Identification Number (DIN) Compliance process as per DIN Rules 2006 along with issuance of DSC;
- Company and Secretarial law issues relating to holding of meetings, maintenance/filing of records, returns, corporate governance, ESOP, Buy Back of securities and all other related matters.

Major Assignments Handled

- Due Diligence, DRHP drafting and SME IPOs for various companies on NSE and BSE both including but not limited to Basilic Fly Studio, Maitreya Medicare Limited, Essen Speciality Films Limited, Srivari Spices & Foods Limited, Mcon Rasayan India Limited, Anlon Technology Solutions Limited and many more.
- DFRD document drafting and end-to-end consultancy for Social Stock Exchange Registration and Listing on NSE including but not limited to Mukti, Routes to Root, Sarthak Educational Trust, Dr Mane Medical and Research Foundation.
- Fine waiver & De-freezing of Promoter's Holding applications filed in NSE and BSE and resolved through personal hearings for many companies;
- Re-classification of Promoters and Promoter Group Shareholders in Pennar Industries Limited;
- Revocation of Suspension in trading of equity shares of Vasa Retail and Overseas Ltd on NSE;
- Migration from SME to Mainboard of Vinny Overseas Limited on NSE & BSE both;
- Drafting replies and appearing before NSE & BSE in relation to show cause notices for waiver of SOP fines for many companies;
- Migration from SME to Mainboard of Laxmi Cotspin Ltd on NSE;
- Direct Listing from BSE to NSE of JTL Industries Ltd;
- Removal from the dissemination Board of DSE on BSE;
- Handled Annual Compliances as per LODR and various further issues like Preferential Allotment, ESOPs, Bonus & stock split etc. on BSE & NSE.

Our Clients





GET IN TOUCH WITH US



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